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01-09-02

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. P1551USA

First Inventor or Application Identifier KAPLAN

Title A METHOD AND SYSTEM FOR SECURE WIRELESS DATABASE MANAGEMENT

Express Mail Label No EL289782828US

JCG99 U.S. PTO  
11/01/01A  
11/01/01  
JCG99 U.S. PTO  
11/01/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning design patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 23]  
(preferred arrangement set forth below)  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C.113) [Total Sheets 7 ]
5. Oath or Declaration [Total Pages 3 ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
 (for a continuation/divisional with Box 17 completed)  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
 a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper  
 c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other: a check in the amount of \$427.00 and a cert. of mailing

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation  Divisional  Continuation-in-part (CIP)  
 Prior application information: Examiner \_\_\_\_\_ of prior application No. \_\_\_\_\_ /  
 Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label \_\_\_\_\_ or  Correspondence address below  
 (Insert Customer Number or Bar code label here)

Name	Brian C. Rupp GARDNER, CARTON & DOUGLAS PATENT TRADEMARK OFFICE 08968				
Address	321 North Clark Street Suite 3400				
City	Chicago	State	Illinois	Zip Code	60610
Country	US	Telephone	312-644-3000		Fax 312-644-3381

Name (Print/Type)	Brian C. Rupp	Registration No. (Attorney/Agent)	35,665
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Signature	Brian C. Rupp	Date	11/1/01
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Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 427)

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	KAPLAN
Examiner Name	
Group / Art Unit	

Attorney Docket No P1551USA

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 07-0181

Deposit Account Name GARDNER, CARTON & DOUGLAS

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355 Utility filing fee	355
106	320	206	160 Design filing fee	
107	490	207	245 Plant filing fee	
108	710	208	355 Reissue filing fee	
114	150	214	75 Provisional filing fee	

SUBTOTAL (1) (\$ 355)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
28	-20**	= 8	X 9	= 72	
2	-3**	= 0	X 0	= 0	

Large Fee Code	Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9 Claims in excess of 20	
102	80	202	40 Independent claims in excess of 3	
104	270	204	135 Multiple dependent claim, if not paid	
109	80	209	40 ** Reissue independent claims over original patent	
110	18	210	9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 72)

3. ADDITIONAL FEES	FEE DESCRIPTION	Fee Paid
105	130 Surcharge - late filing fee or oath	
127	50 Surcharge - late provisional filing fee or cover sheet	
139	130 Non-English specification	
147	2,520 For filing a request for reexamination	
112	920* Requesting publication of SIR prior to Examiner action	
113	1,840* Requesting publication of SIR after Examiner action	
115	110 Extension for reply within first month	
116	390 Extension for reply within second month	
117	890 Extension for reply within third month	
118	1,390 Extension for reply within fourth month	
128	1,890 Extension for reply within fifth month	
119	310 Notice of Appeal	
120	310 Filing a brief in support of an appeal	
121	270 Request for oral hearing	
138	1,510 Petition to institute a public use proceeding	
140	110 Petition to revive – unavoidable	
141	1,240 Petition to revive – unintentional	
142	1,240 Utility issue fee (or reissue)	
143	440 Design issue fee	
144	600 Plant issue fee	
122	130 Petitions to the Commissioner	
123	50 Petitions related to provisional applications	
126	240 Submission of Information Disclosure Stmt	
581	40 Recording each patent assignment per property (times number of properties)	
146	710 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710 279 355 Request for Continued Examination (RCE)	
169	900 169 900 Request for expedited examination of a design application	

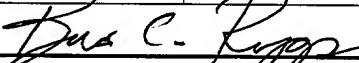
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Brian C. Rupp	Registration No. Attorney/Agent)	35,665	Telephone	312-644-3000
Signature				Date	11/01/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**EXPRESS MAILING CERTIFICATE  
UNDER 37 C.F.R. § 1.10**

ET 289 782 828 US  
"Express Mail" Mailing Number

November 1, 2001  
Date of Deposit

I hereby certify this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to:

Commissioner for Patents  
Box: PATENT APPLICATIONS  
Washington, D.C. 20231

The following documents are included:

1. Transmittal Letter to the US Designated/Elected Office (2 pgs.)
2. U.S. Translation of PCT/EP00/04039 and Certificate of Translation (29 pgs.)
3. Published PCT Application – WO 00/68120
4. PCT Request Form (4 pgs.)
5. International Preliminary Examination Report (4 pgs.)
6. Declaration and Power of Attorney – German Language Declaration (3 pgs.)
7. Preliminary Amendment (7 pgs.)
8. Check No. 327949 in the amount of \$485.00 (1 pg.)
9. Recordation Form Cover Sheet for a Patent (1 pg.)
10. Assignment document (2 pgs.)
11. Check No. 327948 in the amount of \$40.00 (1 pg.)

For: DEVICE FOR DIVERTING ITEMS FROM A STREAM OF SUCH ITEMS

Our Reference No: P1558USA

Carol E. Arellano

Typed name of person mailing this  
Certificate of Mailing

Carol E. Arellano  
Signature

**EXPRESS MAILING CERTIFICATE  
UNDER 37 C.F.R. § 1.10**

ET 289 782 828 US  
"Express Mail" Mailing Number

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I hereby certify this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to:

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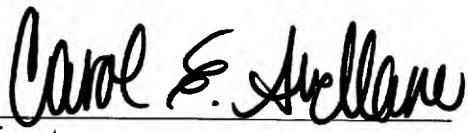
The following documents are included:

1. Utility Patent Application Transmittal Sheet (1 pg.)
2. Fee Transmittal Sheet (in duplicate)
3. Patent Specification (23 pgs.)
4. Drawing Sheets (7 pgs.)
5. A Declaration and Power of Attorney (3 pgs.)
6. Check No. 327942 in the amount of \$427.00 (1 pg.)
7. A return postcard
8. Patent Recordation Form Cover Sheet (1 pg.)
9. Assignment document (2 pgs.)
10. Check No. 327 in the amount of \$40.00 (1 pg.)

For: A METHOD AND SYSTM FOR SECURE WIRELESS DATABASE MANAGEMENT

Our Reference No: P1551USA

Carol E. Arellano  
Typed name of person mailing this  
Certificate of Mailing

  
Signature